



## **CODE OF FAIR DISCLOSURE FOR PURPOSES OF CODE OF PRACTICE AND PROCEDURES FOR FAIR DISCLOSURE OF UNPUBLISHED PRICE SENSITIVE INFORMATION**

### **INTRODUCTION**

Regulatory framework as laid down under the SEBI (Prohibition of Insider Trading) Regulation, 2015 requires the Companies to put in place policy framework for ensuring fair disclosure of the events and occurrence that could impact price of the Companies Share in the market.

Adinath Exim Resources Limited (the "Company") is committed to fair disclosure of information about the Company without advantage to any particular person(s). The Company will adhere to the following principles for fair disclosure of unpublished price sensitive information without diluting the provisions of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, as applicable (the "Regulation") in any manner and the code will be effective from 15<sup>th</sup> May, 2015.

### **DEFINITIONS**

#### **Code**

Code shall mean this Code of Practices and Procedures for Fair Disclosure of Unpublished Price Sensitive Information.

#### **Chief Investor Relations Officer ("CIRO")**

Chief Investor Relations Officer means any senior officer, to deal with dissemination of information and disclosure of unpublished price sensitive information and designated so and reporting to the Board of Directors, who shall be responsible for compliance of policies, procedures, maintenance of records, monitoring adherence to the rules for the preservation of Unpublished Price Sensitive Information, monitoring of trades and implementation of the codes specified under SEBI(Prohibition of Insider Trading) Regulation, 2015.

#### **Unpublished Price Sensitive Information**

"Unpublished Price Sensitive Information" means any information, relating to a company or its securities, directly or indirectly, that is not generally available which upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily including but not restricted to, information relating to the following: -



- (i) financial results;
- (ii) dividends;
- (iii) change in capital structure;
- (iv) mergers, de-mergers, acquisitions, delistings, disposals and expansion of business and such other transactions;
- (v) changes in key managerial personnel; and
- (vi) material events in accordance with the listing agreement.

The Chief Investor Relation Officer shall ensure the following:

1. The Company shall make prompt public disclosure of unpublished price sensitive information that would impact price discovery no sooner than credible and concrete information comes into being in order to make such information generally available.
2. The Company shall make uniform and universal dissemination of unpublished price sensitive information to avoid selective disclosure by disclosing the information to the Stock Exchanges immediately and uploading the same on Companies website.
3. A senior officer is designated as Chief Investor Relations Officer to deal with dissemination of information and disclosure of unpublished price sensitive information.
4. Company shall make prompt dissemination of unpublished price sensitive information that gets disclosed selectively, inadvertently or otherwise to make such information generally available.
5. The Chief Investor Relations Officer shall provide appropriate and fair responses to queries raised by regulatory authorities on news reports and requests for verification of market rumors.
6. The Company shall ensure that information shared with analysts and research personnel is not unpublished price sensitive information.
7. The Company shall make reasonable steps to make transcripts or records of proceedings of meetings with analysts and other investor relations conferences are generally available by uploading such transcripts and records available to the Company on the Companies official website to ensure official confirmation and documentation of disclosures made.
8. Company shall handle all unpublished price sensitive information on a “need to know” basis i.e. only to those who need the information to discharge their duty in the Company.